# IN THE UNITED STATES PATENT AND TRADE MARK OFFICE 09 MAR 2006

Applicant:

CHAN et al.

Examiner:

Unknown

Serial No.:

10/537,963

Group Art Unit:

Unknown

Filed:

June 7, 2005

Docket:

11669.0150USW1

Confirmation

1445

No.:

Due Date:

March 20, 2006

Title:

TRANSGENIC MICE EXPRESSING HUMAN CD20 AND/OR CD16

**CERTIFICATE UNDER 37 CFR 1.10:** 

"Express Mail" mailing label number: EV 408494413 US

Date of Deposit: March 9, 2006

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

By: /// /// Name John Junkers

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

23552

PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

☐ Transmittal Sheet in duplicate containing Certificate of Mailing

Notification of Missing Requirements Under 35 U.S.C. 371

Signed Combined Declaration and Power of Attorney

Supplemental Application Data Sheet, 5 pages

Check(s) in the amount of \$130.00 for payment of Missing Requirements Completion Fee

Other: Communication Regarding Submission of Missing Requirements

Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

Merchant & Gould P.C. P.O. Box 2903 Minneapolis, MN 55402-0903 612.332.5300 By: / / When M Kowalchyk
Name: Katherine M. Kowalchyk
Reg. No.: 36.840

Reg. No.: 36,848 KMK/mkc

S/N 10/537,963

**PATENT** 

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# SUBMISSION OF MI

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Commissioner:

In connection with the above-identified application, enclosed please find the originally signed Combined Declaration and Power of Attorney. Also enclosed is our check in the amount of \$130.00 to cover the Missing Requirements completion fee.

Respectfully submitted,

PATENT TRADEMARK OFFICE

MERCHANT & GOULD P.C.

P.O. Box 2903

Minneapolis, Minnesota 55402-0903

(612) 332-5300

Dated: March 9, 2006

Katherine M. Kowalchyk

Reg. No. 2002

Reg. No. 36,848

KMK/mkc

12/16/2002







### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Viginia 22313-1450 www.unpto.gov

12/11/2003

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/537,963	Chee-Yuen Chan	11669.0150USW1
		INTERNATIONAL APPLICATION NO.

KMK PCT/US03/39686 I.A. FILING DATE PRIORITY DATE

23552 **MERCHANT & GOULD PC** P.O. BOX 2903 **MINNEAPOLIS, MN 55402-0903** 

Miss Rey 2: 03/2d2006 Miss Rey 3/PTA: 0+120/2006 Miss Reg Stat: 07/20/2006 050

**CONFIRMATION NO. 1445 371 FORMALITIES LETTER** \*OC000000017348078\*

Date Mailed: 01/20/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/07/2005
- Copy of the International Search Report filed on 06/07/2005
- Copy of IPE Report filed on 06/07/2005
- Preliminary Amendments filed on 06/07/2005
- Information Disclosure Statements filed on 06/07/2005
- Oath or Declaration filed on 06/07/2005
- Request for Immediate Examination filed on 06/07/2005
- Copy of references cited in ISR filed on 06/07/2005
- U.S. Basic National Fees filed on 06/07/2005
- Priority Documents filed on 06/07/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.





#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/537,963	PCT/US03/39686	11669.0150USW1

FORM PCT/DO/EO/905 (371 Formalities Notice)